# PATENT COOPERA( )N TREATY

### PCT

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

#### From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)

04 September 2000 (04.09.00) in its capacity as elected Office

International application No. PCT/US00/00794

International filing date (day/month/year)

13 January 2000 (13.01.00)

Applicant's or agent's file reference CM2000XM/JB

Priority date (day/month/year)
14 January 1999 (14.01.99)

Applicant

SHOWELL, Michael, Stanford et al

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	04 August 2000 (04.08.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was -
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Antonia Muller

Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

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## PATENT COOPERTION TREATY REC'D 1 7 JAN 2001

### **PCT**

PCT WIPO

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or age	ent's file reference	Γ	See Notification of Transmittal of International
CM2000	KM/JI	В	FOR FURTHER ACTION	Preliminary Examination Report (Form PCT/IPEA/416)
Internationa	ıl appli	cation No.	International filing date (day/mont	th/year) Priority date (day/month/year)
PCT/US	0/00	794	13/01/2000	14/01/1999
Internationa C11D3/3		nt Classification (IPC) or na	tional classification and IPC	
Applicant				
THE PRO	OCTE	R & GAMBLE COMP	ANY et al.	
		ational preliminary exam smitted to the applicant a		ed by this International Preliminary Examining Authority
2. This i	REPO	RT consists of a total of	6 sheets, including this cover s	sheet.
b (:	een a see R	mended and are the bas	sis for this report and/or sheets 07 of the Administrative Instruct	the description, claims and/or drawings which have containing rectifications made before this Authority tions under the PCT).
	•			
3. This r	eport ⊠	contains indications rela	ating to the following items:	
'i		Priority		
 III		•	pinion with regard to novelty, in	nventive step and industrial applicability
IV		Lack of unity of invention	•	
٧	×		nder Article 35(2) with regard to ons suporting such statement	o novelty, inventive step or industrial applicability;
VI		Certain documents cite	· -	
VII	$\boxtimes$	Certain defects in the in	nternational application	
VIII		Certain observations of	n the international application	
			T_ :	A constable of the constable
Date of sub	HISSIC	on of the demand	Date of	of completion of this report
04/08/20	00		15.01.2	2001
	exam Euro D-80 Tel.	g address of the international ining authority: opean Patent Office 0298 Munich +49 89 2399 - 0 Tx: 52365 : +49 89 2399 - 4465	Irwin,	rized officer  , L  none No. +49 89 2399 2527

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/00794

### I. Basis of the report

1.	res <sub>l</sub> the	oonse to an invitation	twn on the basis of (substitute sheets which have been furnished to the receiving Office in under Article 14 are referred to in this report as "originally filed" and are not annexed to not contain amendments (Rules 70.16 and 70.17).):
	1-94	4 a	as originally filed
	Cla	ims, No.:	
	1-1	1 a	as originally filed
2.			rage, all the elements marked above were available or furnished to this Authority in the ternational application was filed, unless otherwise indicated under this item.
	The	se elements were av	vailable or furnished to this Authority in the following language: , which is:
		the language of a tr	anslation furnished for the purposes of the international search (under Rule 23.1(b)).
		the language of pub	olication of the international application (under Rule 48.3(b)).
		the language of a tr. 55.2 and/or 55.3).	anslation furnished for the purposes of international preliminary examination (under Rule
3.			eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:
		contained in the inte	ernational application in written form.
		filed together with th	ne international application in computer readable form.
		furnished subseque	ently to this Authority in written form.
		furnished subseque	ently to this Authority in computer readable form.
			the subsequently furnished written sequence listing does not go beyond the disclosure in plication as filed has been furnished.
		The statement that listing has been furn	the information recorded in computer readable form is identical to the written sequence nished.
4.	The	amendments have	resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:
5.			en established as if (some of) the amendments had not been made, since they have been eyond the disclosure as filed (Rule 70.2(c)):

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/00794

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 1 - 11

No:

Claims

Inventive step (IS)

Yes: Claims

No: Claims 1 - 11

Industrial applicability (IA)

Yes:

Claims 1 - 11

No: Claims

2. Citations and explanations see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

#### Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

The following documents cited are:

D1: WO9806808A (THE PROCTER & GAMBLE CO.) 19 February 1998 (1998-02-19)

D2: WO9839403A (THE PROCTER & GAMBLE CO.) 11 September 1998 (1998-09-11)

D3: WO9739091A (THE PROCTER & GAMBLE CO.) 23 October 1997 (1997-10-23)

#### **Novelty:**

The subject matter of the independent claim 1 is novel since the combination of features expressed in this claim has not been disclosed in the cited prior art. The midchain branched anionic surfactant has been previously disclosed in as much detail and also has the use of pectate lyase enzymes in surfactant compositions, but not together in combination in the same composition.

Therefore the subsequent dependent claims are also novel.

The independent claim 11 is also novel since the composition used in this method is novel.

Therefore claims 1 - 11 are novel according to Article 33(2) of the PCT.

#### **Inventive Step:**

Regarding the presence of an inventive step for the above claims, using the 'problem solution' approach, the technical problem has been formulated as follows: "How to provide a superior cleaning composition that can effectively remove plant based soils and body soils as well as greasy stains".

The closest prior art has been taken to be document D1 since this document discloses laundry and hard surface cleaning compositions that also uses the synergistic effect of having both an anionic surfactant and an enzyme in the cleaning composition so as to

improve the removal of stains, particularly food and other organic based soils, please see D1: description, page 1 (paragraph 3), and page 4 (paragraph 3).

Therefore the combination of a good detersive surfactant with an enzyme is known and so the application therefore discloses an alternative composition that achieves the above effects.

The remaining prior art documents D2 and D3 also disclose cleaning compositions that contain both enzymes and surfactants in the same composition in order to increase the detergency for the effective removal of greasy stains, and the enzymes help remove the plant based stains. Document D3 covers the use of the surfactants given in claim 1 of the application (see D3: description, page 11 (3rd paragraph) - page 12.). Also suggested in document D3 is the use of enzymes (see D3: description, page 48) to increase the removal of protein- and carbohydrate- based stains from substrates, although the specific use of pectate lyases is not given.

It was thus obvious for a skilled man to use in D1 surfactants like those used in the present application which were known and commercially available, instead of the specific surfactants used in that document. Therefore there is no inventiveness in using these combinations with pectate lyase. Moreover, it is unlikely that a synergistic effect, if present, is expressed in the entire scope of the claim with any of the hundreds of different surfactants covered by the claim.

Therefore the subject matter of the claims 1 - 11 are not inventive according to Article 33(3) of the PCT.

#### Re Item VII

#### Certain defects in the international application

The phrase "... herein incorporated by reference" as used in the description on page 56 (line 23) is an expression not to be used (Rule 9.1(iv) of the PCT, Guidelines PCT/GL/3 III, 4.3a).

Contrary to the requirements of Rule 5.1(a)(ii) of the PCT, the relevant background art

### INTERNATIONAL PRELIMINARY

International application No. PCT/US00/00794

**EXAMINATION REPORT - SEPARATE SHEET** 

disclosed in document D1 and D2 is not mentioned in the description, nor are these documents identified therein.

The independent claim 1 is not in the two-part form in accordance with Rule 6.3(b) of the PCT, which in the present case would be appropriate, with those features known in combination with the prior art document D1 being placed in the preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in the characterising part (Rule 6.3(b)(ii) PCT).

Reference has been made to certain non pre-published documents in the description, page 2 (lines 28 - 29) (see the guidelines, PCT/GL/C II, 4.18).

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

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DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		



#### **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference  CM2000XM/JB		of Transmittal of International Search Report 220) as well as, where applicable, Item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US 00/00794	13/01/2000	14/01/1999
Applicant  THE PROCTER & GAMBLE COMP	'ANY et al.	
This international Search Report has bee according to Article 18. A copy is being to	en prepared by this International Searching Auransmitted to the International Bureau.	rthority and is transmitted to the applicant
This international Search Report consist  X It is also accompanied by	s of a total of <u>3</u> sheets. y a copy of each prior art document cited in thi	is report.
Basis of the report     With recent to the language, the	international search was carried out on the b	eeke of the internetional annikation in the
	nless otherwise indicated under this item.	asis of the international approach in the
the International search (Authority (Rule 23.1(b)).	was carried out on the basis of a translation of	the international application furnished to this
b. With regard to any <b>nuclectide</b> a was carried out on the basis of the		International application, the international search
	remational application in computer readable to	rm.
furnished subsequently t	to this Authority in written form.	
furnished subsequently	to this Authority in computer readble form.	
	as filed has been furnished.	does not go beyond the disclosure in the
1 —		is identical to the written sequence listing has been
2. Certain claims were fo	und unsearchable (See Box I).	
3. Unity of invention is la	cking (see Box II).	
4. With regard to the title,		
	submitted by the applicant.	
the text has been estable	shed by this Authority to read as follows:	
DETERGENT COMPOSITION TEM	IS COMPRISING A PECTATE LYAS	SE AND A SPECIFIC SURFACTANT SYS
5. With regard to the abstract,		
X the text is approved as s	submitted by the applicant.	
	ished, according to Rule 38.2(b), by this Authorie date of mailing of this international search of	ority as it appears in Box III. The applicant may, eport, submit comments to this Authority.
6. The figure of the drawings to be put	blished with the abstract is Figure No.	· <u></u>
as suggested by the app	olicant.	None of the figures.
because the applicant fa	illed to suggest a figure.	
because this figure bette	er characterizes the invention.	

#### INTERNATIONAL SEARCH REPORT

tional Application No

				S 00/00794
A. CLASSI IPC 7	FICATION OF SUBJECT MATTER C11D3/386 C11D1/02 C	1101/75	C11D1/83	
	•			
	International Patent Classification (IPC) or to both nati	onal classification a	nd IPC	
	SEARCHED			
IPC 7	cumentation searched (classification system followed to C11D	oy classification syn	ibols)	
Documenter	tion searched other than minimum documentation to the	extent that such do	cuments are included in the	fields searched
Electronic d	ata base consulted during the international search (nar	ne of data base and	, where practical, search tem	ns used)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropri	ate, of the relevant	oassages	Relevant to claim No.
х	WO 98 06808 A ( THE PROCTE 19 February 1998 (1998-02-		CO.)	1,5-7,10
Υ	page 4 -page 6	19)		1-11
	page 9, paragraph 2 page 41 -page 42			
	page 32 -page 35	14.10		
	claims 1-3,12-14; example 	s 14,19		
Х	WO 98 39403 A ( THE PROCTE 11 September 1998 (1998-09		CO.)	1,5-7,10
Υ	page 6, line 25 - line 36			1-11
	<pre>page 26, line 5 -page 27, page 18, line 1 -page 19,</pre>			·
1	claims 1,6,9-11; examples	19,20		
		-/		
  -				
X Furti	her documents are listed in the continuation of box C.	X	Patent family members an	e listed in annex.
° Special ca	tegories of cited documents:		ter document published after t	
	ent defining the general state of the art which is not lered to be of particular relevance		or priority date and not in confi ited to understand the princip invention	
filing o		"X" d	ocument of particular relevance annot be considered novel or	cannot be considered to
which	ont which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified)	*Y* d	ocument of particular relevance	the document is taken alone e; the claimed invention e an inventive step when the
other	ent referring to an oral disclosure, use, exhibition or means and prior to the international filing date but		locument is combined with on nents, such combination being the art.	e or more other such docu-

Date of the actual completion of the international search

document published prior to the international filing date but later than the priority date claimed

24/05/2000

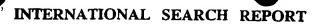
4 May 2000

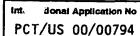
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016 **Authorized officer** 

Serbetsoglou, A

\*&\* document member of the same patent family

Date of mailing of the international search report





		PC1/US 00/00794				
CONTINUATION) DOCUMENTS CONSIDERED TO BE RELEVANT						
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.				
Y	WO 97 39089 A ( THE PROCTER & GAMBLE CO.) 23 October 1997 (1997-10-23) page 3, line 31 -page 22, line 35 page 50, line 28 - line 32 page 43, line 1 - line 37 claims 1-9	1-11				
<b>Y</b>	WO 97 39091 A (THE PROCTER & GAMBLE CO.) 23 October 1997 (1997-10-23) cited in the application claims 1-4 page 48 -page 53 page 68 -page 69	1-11				



Information on patent family members

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### INTERNATIONAL SEARCH REPORT



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